



# Melatonin as a Novel Candidate for Gene Therapy of Atherosclerosis

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## Abstract

Cardiovascular diseases remain the main cause of death worldwide. Atherosclerosis, the chronic inflammation of large and medium vessels, is the main cause of cardiovascular diseases and their complications. Inflammation has proved to be involved as the main promoter of the atherosclerotic plaque progression. Also, oxidative stress is another factor that has a key role in the pathogenesis of atherosclerosis. Melatonin is a neuroendocrine hormone that is produced by multiple organs mainly by pineal gland. Exogenous administration of melatonin has recently shown atheroprotective effects. Athero-protective activities of melatonin are conducted by its anti-oxidant (free radical scavenging) and anti-inflammatory role in chronic inflammation. Melatonin exerts its anti-inflammatory effects through suppressing NLRP3 inflammasome and reducing expression of TLR-4 receptor, which is the upstream of NF- $\kappa$   $\beta$  pathway. Suppression of the mentioned pathways reduces the production of inflammatory mediators and cytokines. It has also been shown that melatonin can stabilize the plaque by increasing the levels of collagen inside the atherosclerotic plaque. Melatonin is mostly synthesized in the pineal gland; however, lymphocytes also have the capacity to produce it, as well. Biosynthesis of melatonin include several enzymes. Two of them, AANAT and HIOMT, act as rate limiting enzymes. Regulatory T cells have shown to have an atheroprotective effect through their immune suppressive activity. According to melatonin producing capacity and atheroprotective effects, for the first time, in order to induce melatonin production, the authors propose the overexpression of AANAT in T regs as a novel candidate for gene therapy of atherosclerosis. Since melatonin is an endogenous molecule that has an athero-protective role with low adverse effects, the overexpression of AANAT gene in T reggs could result in atherosclerosis plaque regression and increase plaque stability.

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## Introduction

Cardio Vascular Disease (CVD) is the leading cause of mortality worldwide. Atherosclerosis (AS) is a chronic inflammation of the large and medium vessels which has been identified as the main cause of CVD. Thus, there is a significant necessity for developing AS-reducing strategies in order to restrict its severe complications, such as Myocardial Infarction (MI), Stroke, Unstable Angina (UA), and sudden cardiac death.

## Atherosclerosis (AS)

### Atherosclerosis plaque formation

AS plaque formation is the consequence of Low-Density Lipoprotein (LDL) deposit in the sub-endothelial layer of the large and medium vessels and the inflammatory responses to this deposition [1,2]. This process contains several stages. The initial step is identified by endothelial damage that creates a pathway for plasma LDL aggregation in the sub-endothelial layer [1,3]. Then, the deposited LDL is converted into oxidized (ox)-LDL by existing Reactive Oxygen Species (ROS) in the subendothelial layer [4]. Resident macrophages and recruited monocytes can uptake the ox-LDL through their receptors [4,5]. Accumulation of the ox-LDL in macrophages leads to the formation of the foam cells. This process results in the production of various cytokines by foam cells. Also, ox-LDL activates macrophages and endothelial cells to produce chemokines and several adhesion molecules that facilitate monocyte recruitment to the sub-endothelial layer [1,4]. The ox-LDL inside the foam cells and apoptotic cytokines accompany to induce the apoptosis of the foam cells [6]. This leads to formation of the macrophage debris in the subendothelial layer. Defective clearance (efferocytosis) of dead macrophages results in chronic inflammation and necrotic nucleus formation. Necrotic nucleus destabilizes the plaque and increases the risk of rupture and thrombosis that consequently lead to acute coronary syndrome including MI and UA [6].

### Atherosclerosis and inflammation

Inflammation is the response of the immune cells to harmful stimuli, such as foreign pathogens. Inflammation has been validated to play a pivotal role in AS process [3,7]. The monocyte macrophage system is the most important immune component that participates to AS plaque progression [8]. Ox-LDL causes the endothelial cells to produce chemotactic and adhesion molecules that result in the migration of the monocytes to the sub-endothelial layer. After monocytes migration, they differentiate into macrophages and start to uptake ox-LDL and form foam cells. Ox-LDL can also induce inflammatory responses through Toll-Like Receptor (TLR) and nuclear transcription factor-kappa B (NF-kB) in foam cells. This finally causes pro-inflammatory cytokine secretion [1].

Uncontrolled uptake of ox-LDL by macrophages causes the production of inflammatory mediators by activating NF-kB transcription factor. This consequently ends in chronic inflammation. Cytokines are the major mediators of inflammation that participate in all stages of the AS. They could be divided into two main groups: Pro-inflammatory and anti-inflammatory cytokines. Pro-inflammatory cytokines, including Inter Leukin-1 (IL-1), 6, 12, and 18 and TNF-alpha, have shown to promote the progression of AS. Anti-inflammatory cytokines including IL-5, 10 and 13 have shown to have an inhibitory role in AS plaque progression [2].

Different kinds of immune cells have been detected to be

involved in AS development. In this process, monocyte/macrophage system plays a major role. Moreover, T cells have a significant role in AS development, as well [8]. In conclusion, both innate and adaptive immune cells play a pivotal role in AS plaque progression [3,9].

### T cells and atherosclerosis

Different types of T cells, including T helper 1 (Th1), Th2, and regulatory T cells, are involved in AS development. Th1 is known as a pro-atherogenic cell due to its pro-inflammatory activities. The role of Th2 in AS progression is controversial. IL-4 deficiency, a Th2 related cytokine, has been shown to decrease AS plaque formation. On the other hand, IL-10, which is another Th2 related cytokine, has shown a powerful anti-atherogenic effect. Regulatory T cells (T regs) have two subtypes: Natural T regs and induced T regs. Studies have demonstrated that both types of T regs have powerful athero-protective activity due to their immune-suppressing activity [3,10].

### Oxidative stress and AS

Oxidative stress plays a key role in AS plaque development. Oxidation of the deposited LDL leads to the production of ox-LDL. Ox-LDL is uptaken by the macrophages that leads to formation of the foam cells [11]. Since NF-kB is sensitive to oxidative stress, it can be activated by ox-LDL [12]. Also, ox-LDL induces NLRP3 (nucleotide-binding domain and leucine-rich repeat pyrin domain containing 3) inflammasome that induces IL-1 $\beta$  and IL-18 secretion [13]. Also, the activation of the macrophage intracellular pathways by ox-LDL results in the production of inflammatory mediators [4], such as Monocyte Chemo attractant Protein-1 (MCP-1) [14], Vascular Cell Adhesion Molecule-1 (VCAM-1) [15], TNF-a [16], IL-6, and IL-8 [17,18]. Ox-LDL can induce the apoptosis of the Smooth Muscle Cell and macrophages which ends in instability of plaque. In conclusion, the induction of proinflammatory mediators by ox-LDL leads to chronic inflammation [11], that causes AS plaque progression and instability. Thus, anti-oxidant agents could be beneficial in inhibiting AS plaque progression [6,15,19].

### Novel approaches to inhibit the progression of AS plaque

Recently, the standard of care for AS is based on reducing the CVD risk factors, including smoking, high serum LDL, diabetic mellitus, obesity, and hypertension. LDL-lowering drugs, such as statins, Proprotein Convertase Subtilisin/kexin type 9 (PCSK9) inhibitors, and also some other anti-IL1 $\beta$  monoclonal Abs are also employed [20-22]. HMG-CoA reductase inhibitor (statins), Phospholipase A2 (PLA2) inhibitors, and 5-Lipoxygenase inhibitors (LOX inhibitors) ameliorate atherosclerotic plaque by their anti-inflammatory effects [23]. However, in addition to anti-inflammatory agents, anti-oxidants have also shown atheroprotective effects. Although these drugs have shown acceptable effects in reducing serum LDL levels and inhibiting the progression of atherosclerosis, there is still a strong demand for designing novel anti-inflammatory and anti-oxidant methods with higher efficacy. Also, statins can not appropriately control the progression of AS plaque [1].

Gene therapy has recently shown promising results in inhibiting the progression of atherosclerosis. Gene therapies follow two purposes in AS treatment: 1) gene replacement therapy, 2) over-expression of some molecules or proteins that inhibit atherosclerosis or stabilize AS plaque [24]. Gene replacement therapy of familial hypercholesterolemia, an autosomal codominant disorder that causes elevation in plasma LDL, results in AS

plaque regression. Sadik H. Kassim et al. studied the utilization of liver-specific adeno-associated virus serotype 8 (AAV-8) vectors coding human cDNA of LDL receptor in humanized mouse model of familial hypercholesterolemia. This study exhibited promising effects in lowering plasma LDL [25]. IL-10 has an anti-inflammatory role in AS. Paul L. Hermonat et al. showed that gene transferring of IL-10 using Adeno-Associated virus 2 (AAV2) vector significantly inhibited the development of AS in LDL-R-deficient mice [26]. Since each drug or method may have adverse effects, developing new inflammation-reducing approaches with low adverse effects could show more efficacy against AS. Thus, selecting an anti-inflammatory agent or molecule with low adverse effect and endogenous origination may manifest superior prominence as a candidate for gene therapy of AS. Studies show that melatonin is a molecule that has anti-inflammatory and anti-oxidant potency with an endogenous origination. Thus, melatonin may be a good candidate for gene therapy of AS.

## Melatonin

### Melatonin and its biosynthesis

Melatonin is a neuroendocrine hormone that is mainly produced by the pineal gland. However, evidence shows that some extra-pineal tissues, such as astrocytes, glial cells, lymphocytes, retinal cells, testes, ovary, placenta, and skin, also have the capacity to biosynthesize melatonin. Melatonin is one of the tryptophan's derivatives and its synthesis includes four steps. Cascade of melatonin production summarized in *figure 1*. There are two rate-limiting enzymes: Arylalkylamine N-acetyltransferase (AA-NAT) and hydroxyindole O-methyl transferase (HIOMT). Thus, overexpression of AANAT and HIOMT could lead to increased melatonin production.

Melatonin can exert its effects directly or through its receptor-mediated pathways. Receptor-mediated responses are mediated by two kinds of receptors. Membrane receptors: Melatonin receptor 1 (MT1) and MT2 in human, and nuclear receptors, that are subfamilies of Retinoid Orphan Receptor/Retinoid Z Receptor (ROR/RZR). Previously, melatonin was known for its circadian effect; however, it also has known to have an anti-oxidant and anti-inflammatory role, as well. These roles will be briefly explained in the next parts [27-29].

### Melatonin and inflammation

Chronic inflammation plays a key role in the immunopathogenesis of AS. Thus, anti-inflammatory agents could be considered as a beneficial method for preventing AS. Melatonin has shown both pro and anti-inflammatory effects, which means it could be both activator and inhibitor of the inflammation. Studies show that melatonin plays a pro-inflammatory role in the early stages of the inflammation/acute inflammation; however, it can act as an anti-inflammatory agent in chronic inflammation. As mentioned, TLR-4 and NF- $\kappa$ B interaction result in the production of pro-inflammatory cytokines, including IL-12 and MCP-1. It has been demonstrated that Melatonin inhibits the expression of TLR-4-mediated inflammatory genes through the MyD88 dependent pathway [30]. It has been also reported that melatonin inhibits the activation of nucleotide-binding oligomerization domain-like receptor pyrin domain 3 (NLRP3 inflammasome) which results in reduced caspase-1, and consequently, low levels of IL-1 $\beta$  and IL-18 [12]. The anti-inflammatory effect of melatonin is also conducted through down-regulation of inflammatory mediators such as PLA2, LOX, IL-1, and

TNF- $\alpha$ . In conclusion, melatonin acts as an anti-inflammatory agent in chronic inflammation through the down-regulation of pro-inflammatory mediators [27].

Li et al. studied the in-vitro effect of melatonin on inflammation of the smooth muscle cell and atherosclerosis in apoE<sup>-/-</sup> mice. This study revealed that melatonin reduced smooth muscle cell inflammation by inhibiting the production of TNF- $\alpha$  and Platelet Derived Growth Factor-BB (PDGF-BB). Melatonin also reduced the in-vitro formation of foam cells in ox-LDL treated macrophages [31].

Autophagy is the process that involves digestion of intracellular organelles and helps cells to survive against stressful condition [32]. Studies have demonstrated that autophagy results in progression of inflammation and atherosclerosis, in late stages of the atherosclerosis. Thus, targeting autophagy could act as promising method in treatment of atherosclerosis [32,33]. Oxidative stress is one of the main factors that can trigger autophagy. Since melatonin is an anti-oxidant agent, it can regulate the autophagy through its anti-oxidative capacity. However it is not the only mechanism for melatonin which could regulate the autophagy, and further studies are required to investigate mechanisms of melatonin-regulated autophagy inhibition [32,34].

### Melatonin and oxidative stress

In addition to melatonin's anti-inflammatory effect, it is also an anti-oxidant molecule. Its antioxidant activity is related to its free radical scavenging effect and regulatory role in anti-oxidant enzyme expression. As mentioned, nuclear transcription factor-kappa B (NF- $\kappa$ B) is an oxidative stress-sensitive transcription factor that plays a pivotal role in inflammation [12]. Since melatonin can reduce the oxidative stress, application of melatonin leads to the down-regulation of NF- $\kappa$ B and can consequently reduce inflammation. Studies also show that melatonin decreases NO production in inflamed tissue by down-regulation of Nitric Oxide Synthase (NOS) through NF- $\kappa$ B pathway. In conclusion, melatonin could be considered as an antioxidant agent with beneficial effects against AS [27,35,36].

### Melatonin and atherosclerosis

Atherosclerosis is a chronic inflammation that is amplified by the effect of oxidative stress. Melatonin has shown to have an athero-protective role in chronic inflammation by reducing pro-inflammatory mediators and transcription factors, such as the inhibiting the interaction between NF- $\kappa$ B and TLR-4 [30] and NLRP3 [37]. As mentioned, oxidative stress plays a key role in AS plaque progression. Melatonin, through its antioxidant effect, may have the ability to reduce AS progression. In addition to melatonin's anti-inflammatory and anti-oxidant mechanisms, various studies have shown melatonin to inhibit AS plaque progression through other pathways [38,39]. Zhang et al. showed that melatonin enhances atherosclerotic plaque stability by inducing prolyl-4-hydroxylase  $\alpha$ 1 (P4HA1) expression, which is necessary for collagen maturation [40]. Cheng et al. exhibited melatonin to have an athero-protective effect through regulating Mitogen-Activated Protein Kinase (MAPK) pathway in a rabbit atherosclerotic model [41].

In conclusion, considering the severe complications and high mortality of atherosclerosis accompanied by insufficient efficacy of current anti-atherosclerotic treatments, there is a strong need for developing novel strategies for AS treatment.

## Hypothesis

Atherosclerosis is the main cause of the CVD leading cause of mortality in the world. Recent studies have attempted to develop novel anti-atherosclerotic treatments. It has been demonstrated that AS is a chronic inflammation of large and medium vessels. Studies show that oxidative stress and inflammation play a key role in AS plaque progression. Thus, anti-inflammatory and anti-oxidant agents have shown to represent promising effects in the regression of AS plaques. Also, T regs have anti-inflammatory activities due to their immune suppressing activity that can end in AS plaque regression.

In this article, we introduce melatonin as an athero-protective agent due to its antioxidant activity through free radical scavenging and its anti-inflammatory role in chronic inflammation by reducing the expression of pro-inflammatory genes. Some studies have reported exogenous administration of melatonin to have promising results in AS plaque regression.

According to the advantages of the gene therapy in atherosclerosis, it could be considered as an alternative strategy against AS. The over expression of some molecules or substances that have athero-protective effects can lead to promising results in the treatment of atherosclerosis. Considering the athero-protective effects of melatonin, it could be introduced as a new candidate for gene therapy of AS.

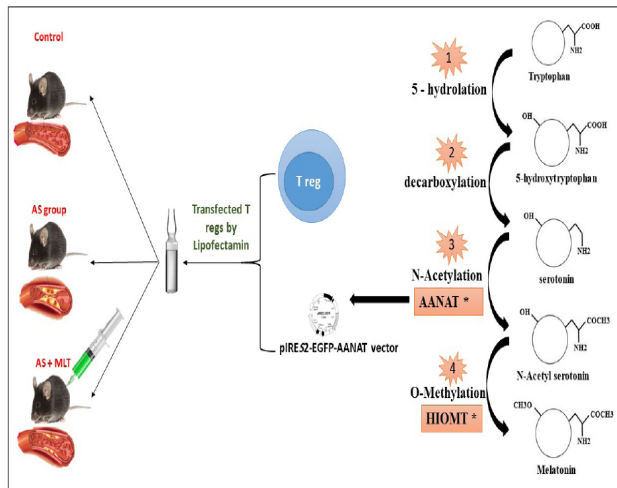
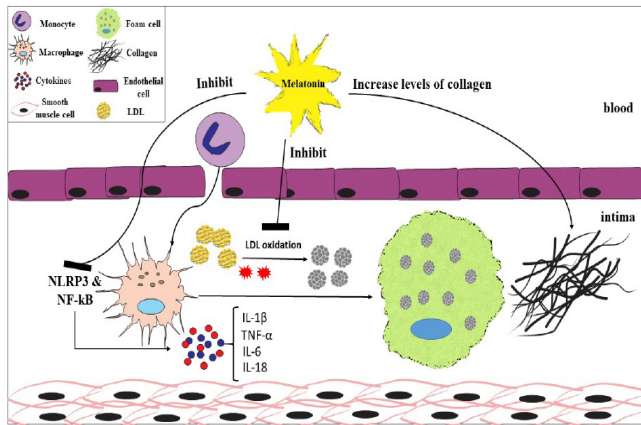
As mentioned before, studies have shown the benefits of exogenous administration of melatonin in AS plaque; however, none of them have examined the endogenous effect of melatonin. For the first time, in order to evaluate the endogenous production of melatonin and its effect on AS plaque, we hypothesize the over expression of the melatonin rate-limiting enzymes (AANAT and HIOMT) genes in T regs in mice model of atherosclerosis. This approach could be proposed as a novel gene therapy approach to inhibit the progression of AS plaque. Also, the athero-protective properties of T regs could facilitate the atheroprotective effects of endogenous melatonin production by its immune-suppressing effects.

### Evaluation of hypothesis

For testing hypothesize we recommend the following experiments (*figure 1*):

1. Animal: C57BL/6 mice that have deficient Apo-lipoprotein E (ApoE  $-/-$ ) are a suitable model for studying AS. A total of 30 male, 8 weeks old mice are going to be prepared. Our intervention involves the injection of modified T regs that produce melatonin. Mice are going to be randomly divided into three groups (n=10 in each groups):
  - a. First group will be fed with western type diet (which includes 15% fat and 21% fat that is originated by milk) and no intervention for 12 weeks (AS group).
  - b. Second group mice will be fed by western type diet for 12 weeks, and melatonin intervention will be started 4 weeks after feeding (case group).
  - c. Third group will be fed by western type diet for 12 weeks and empty vector will be injected 4 weeks after feeding (control group).
2. T cell isolation: T cells will be isolated from mice peripheral blood through the routine techniques using Ficoll-hypack.
3. Cell culture media: T cells will be cultured with RPMI-1640 with 10% fetal bovine serum (heat-inactivated), 1.5 mg/mL sodium bicarbonate, 10 mM HEPES, 1mMsodium pyruvate, and 100 U/mL penicillin and streptomycin. The complete medium should be stored at 4 °C after preparation and pre-warmed to 37 °C before use.
4. T regs production: In order to obtain T regs, CD4<sup>+</sup> T cells will be induced to express FOXP3 (T regs specific marker) by adding IL-2 and TGF- $\beta$ 1 to the culture media.
5. T regs production evaluation: T regs are recognized with CD4, CD25, and FOXP3 markers. Anti-FOXP3 antibodies will be used with ELISA kit to identify and isolate T regs.
6. Construction of the vector for AANAT gene (pIRES2-EGFP-AANAT): In order to obtain total AANAT gene RNA, pineal gland is going to be utilized according to the high expression of AANAT gene in this organ. After cloning the gene, it will be extracted and cloned into pIRES2-EGFP plasmid vector for transfection.
7. Prepared modified T cells: After preparing the pIRES2-EGFP-AANAT vectors, T regs will be induced for division by phytohemagglutinin (PHA). Then, the vectors are going to be transfected to the T regs through lipofectamin method.
8. Melatonin production assay: To evaluate the melatonin level in 4 groups of mice, blood will be collected from caudal vein and melatonin serum level will be assayed by radioimmuno assay.
9. Inflammatory factors evaluation: To evaluate the anti-inflammatory effect of melatonin, the serum level of pro-inflammatory factors including IL-1, 6 and TNF- $\alpha$  will be measured by ELISA during the cure period.
10. AS plaque assay during 12 weeks: It has been demonstrated that Cluster of Differentiation 81 protein (CD81) is upregulated in endothelium of AS plaque. Thus, all of the mice are going to be imaged by molecular Magnetic Resonance Imaging (MRI) using CD81 antibody-conjugated Micron-sized Particles of Iron Oxide [42].
11. After 8 weeks of T cell infusion, all mice undergo euthanasia and will be sacrificed by cervical dislocation. To examine the AS plaque progression and MLT effect, carotid and descending aorta will be removed. Samples will be stained using haematoxylin and eosin (H&E) staining.
12. AS plaque stability: Since melatonin has effect on plaque stability, plaques will be stained by Picrosirius Red, to appraise collagen level in plaques.
- d. According to the immune suppressor activity of the T regs, the mice will be kept in pathogene free status.





## Conclusion & discussion

Atherosclerosis (AS) is a chronic inflammation of the large and medium vessels. Briefly, it is initiated by accumulation of LDL. Further immune response promotes the progression of AS plaques. Since there is no definite cure for AS and its severe complications, such as MI and stroke, further research is essential for reaching highly effective treatments. Gene therapy has recently gained more attention in the treatment of AS [43,44] and multiple other diseases [45-50].

In this hypothesis, we introduce melatonin as an athero-protective molecule. Its athero-protective effect are exerted through both anti-inflammatory and anti-oxidative properties. MLT is an anti-oxidant due to its free radical scavenging activity. Also, its anti-inflammatory activity has been demonstrated in chronic inflammation through down regulation of pro-inflammatory genes. MLT can also inhibit the activation of NLRP3 inflammasome [37] and reduce TLR-4 expression [30], which are responsible for inflammatory cytokine secretion. In another way, MLT can stabilize AS plaque by inducing P4HA1 [40], the enzyme responsible for collagen maturation. The athero-protective activities of melatonin are not limited to these pathways and studies have shown other mechanisms, as well.

Regarding promising reduction in AS plaque after administration of exogenous melatonin, we hypothesize that MLT could be a good candidate for gene therapy of AS. To reach this goal, we hypothesize the overexpression of AANAT (rate limiting enzyme responsible for MLT biosynthesis) gene in T regs using piRES2-EGFP-AANAT vector.

Based on the anti-inflammatory, anti-oxidative, and plaque-stabilizative effects of melatonin, we expect the endogenous

overexpression of melatonin by T regs to:

- Overexpress AANAT gene and increase serum level of MLT in treated group versus other groups.
- Decrease inflammatory mediators, such as IL-1B, 2,18 and, MCP-1 in T reg treated group versus control group
- Reduce in AS plaque diameter using H&E staining in treated group versus control group
- Increase in the stability of AS plaque due to higher collagen level in treated group compared to control group.

Briefly, based on the facts that were reviewed, we expect the endogenous melatonin overexpression to exhibit athero-protective effects and to have promising regression in AS. Also, the endogenous production of melatonin by T regs is expected to show less side effects than the exogenous infusion, because of the endogenous regulation of melatonin production by T regs. However, further studies will be required to evaluate our expectations.

Various studies have shown the effects of exogenous melatonin administration in AS. Sai et al. in 2018 reported the intraperitoneal administration of MLT to significantly reduce AS progression through inhibition of NLRP3 inflammasome in ApoE<sup>-/-</sup> mice [37]. Ze-Ping et al. examined the administration of MLT via gavage in male New Zealand white rabbits which showed acceptable regression of AS plaque. This effect was administered through suppression of TLR4/NF-κβ system that resulted in reduction of inflammatory mediators secretion [30]. Hongxuan Li et al. showed MLT to increase AS plaque stability by inducing P4HA1 expression that is necessary for collagen maturation [40].

Similar to our hypothesis, Jingli et al. in 2018 showed the effect of AANAT overexpression on the inflammation in transgenic goats. For the first time, they revealed the effect of endogenous melatonin production in inflammation. They demonstrated that the in-vitro production of MLT to the PBMCs, accompanied by Lipopolysaccharide (LPS) challenge, led to an anti-inflammatory effect through induction of cellular autophagy in PBMCs. Despite reduce inflammation in in-vitro environment, the in-vivo endogenous production of melatonin by PBMCs, accompanied by LPS challenge, led to increased inflammation [51]. The controversy in this study can be explained by differences in-vitro and in-vivo environments, the overexpression of MLT in multiple cellular lineages, and acute inflammation induced by LPS injection.

Recent studies have demonstrated promising athero-protective activities of MLT on AS plaque [39,40]. So we hypothesized that endogenous MLT production may have athero-protective activity, as well. To evaluate this hypothesis and due to advantages of gene therapy, the authors propose AANAT gene overexpression in T regs using gene therapy, could end in endogenous melatonin production in APO E<sup>-/-</sup> mice. Production of melatonin by T regs could consequently reduce AS plaque progression and size.

Although melatonin is physiologically produced in the body, high concentrations of melatonin in the blood could result in some side effects. The main side effects consist of headache, dizziness, nausea, and drowsiness. The rare side effects that must also be considered are interaction with some medications, including anticoagulants, anti-platelet drugs, contraceptive drugs, diabetes medications, and medications that sup-

press the immune system. These are the most common side effects of melatonin [52] (<https://www.webmd.com/vitamins/ai/ingredientmono-940/melatonin>).

Also, due to immune suppressive activity of T reggs, we suggest that mice should be kept in pathogene free condition. Considering personalized medicine, since the gene polymorphism in drug-metabolizer enzymes affect the metabolism of melatonin in the liver, patients with CYP1A2 to 6-hydroxymelatonin polymorphism in cytochrome P450 enzyme are suggested not to be prescribed melatonin [53].

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